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#11
CF/013
3/18/04

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Glenn D. Kirwin et al.
Application No.: 09/745,651 Confirmation No.: 1536
Filed : December 22, 2000
For : SYSTEMS AND METHODS FOR PROVIDING A
TRADING INTERFACE
Group Art Unit : 3624
Examiner : Charles R. Kyle

New York, New York
March 1, 2004

Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

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TRANSMITTAL LETTER FOR
SECOND SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

GROUP 3600

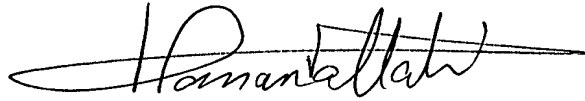
Sir:

Transmitted herewith is a Second Supplemental Information Disclosure Statement in the above-identified application. This Statement is submitted after the period specified in 37 C.F.R. § 1.97(b) and before the mailing date of any of a final action, a notice of allowance, or an action that otherwise closes prosecution in the application.

Because this Statement is submitted not more than three months from the mailing date of any communication from a foreign patent office in a counterpart foreign application, submission of this Statement requires no fee in accordance with 37 C.F.R. § 1.97(c). However, if for any reason a fee is due, the Director is hereby authorized

to charge payment of any fees required in connection with this Third Supplemental Information Disclosure Statement to Deposit Account No. 06-1075. A duplicate copy of this letter is transmitted herewith.

Respectfully submitted,

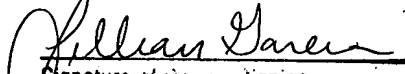


Hassan Albakri
(Limited Recognition)
Agent for Applicants
FISH & NEAVE
Customer No. 1473
1251 Avenue of the Americas
New York, New York 10020-1105
Tel.: (212) 596-9000

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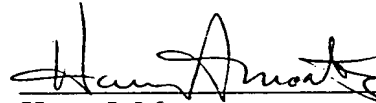
**BEFORE THE OFFICE OF ENROLLMENT AND DISCIPLINE
UNITED STATE PATENT AND TRADEMARK OFFICE**

LIMITED RECOGNITION UNDER 37 CFR § 10.9(b)

Hassan Albakri is hereby given limited recognition under 37 CFR § 10.9(b), as an employee of the law firm of Fish & Neave, to prepare and prosecute patent applications wherein the patent applicant is a client of the law firm of Fish & Neave, and a registered practitioner, who is a member of the law firm of Fish & Neave, is the practitioner of record in the applications. This limited recognition shall expire on the date appearing below, or when whichever of the following events first occurs prior to the date appearing below: (i) Hassan Albakri ceases to lawfully reside in the United States, (ii) Hassan Albakri's employment with the law firm of Fish & Neave, ceases or is terminated, or (iii) Hassan Albakri ceases to remain or reside in the United States on an H-1B visa.

This document constitutes proof of such limited recognition. The original of this document is on file in the Office of Enrollment and Discipline of the U.S. Patent and Trademark Office.

Expires: December 6, 2004

A handwritten signature in black ink, appearing to read "Harry I. Moatz", written over a horizontal line.

Harry I. Moatz
Director of Enrollment and Discipline

FORM PTO-1449 SECOND SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT BY APPLICANTS	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO. CF/013	APPLICATION NO. 09/745,651
		APPLICANTS Glenn D. Kirwin et al.	CONFIRMATION NO. 1536
		FILING DATE December 22, 2000	GROUP ART UNIT 3624

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

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FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER INITIAL	
	MSDN, Microsoft Corporation, at http://msdn.microsoft.com/archive/default.asp?url=/archive/en-us/dnarvbtips/html/msdn_msdn72.asp (printed February 11, 2004)

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not conformance and not considered. Include copy of this form with next communication to applicants.